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Substitute f	Substitute for form 1449/PTO			Complete	if Known
TUIDN	THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/074,996
				Filing Date	February 12, 2002
				First Named Inventor	Chang-Ping Lee
				Art Unit	2131
(Use as many sheets as necessary)		Examiner Name	Christopher A. Revak		
Sheet	1	of	12	Attorney Docket Number	2222.5390005

			U.S. PATENT DO	OCUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
iniuais	No.	Number-Kind Code ² (If Known) MINI-DD-YYYYY	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,796,220	01-01-1989	Wolfe	
	US4	4,827,508	05-02-1989	Shear	
	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
	US7	5,032,979	07-16-1991	Hecht et al.	
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	US9	5,204,897	04-20-1993	Wyman	
	US10	5,301,247	04-05-1994	Rasmussen et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code Murnber Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.		
	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		
	FP4	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.		
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

La: /Christopher Bevak/ a	Date Considered 11/25/2009
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SECOND SUDDI EMENTAL			EMENTAL	Application Number	10/074,996	
	SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002	
				First Named Inventor	Chang-Ping Lee	
STAT				Art Unit	2131	
	(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.		
Sheet	2	of	12	Attorney Docket Number	2222.5390005	

			U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Illitais	No.	Number-Kind Code ^{2 (If Known)}	WIN-DB-1111	Applicant of Cited Bocument	or Relevant Figures Appear
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	US22	5,778,065	07-07-1998	Hauser et al.	
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	US24	5,835,592	11-10-1998	Chang et al.	
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	US27	5,881,287	03-09-1999	Mast	
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	FP10					<u> </u>
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Examiner Signature	/Christopher Revak/	Date Considered	11/25/2009
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		Filing Date	February 12, 2002
INFORMATION DISCLO		First Named Inventor	Chang-Ping Lee
STATEMENT BY APPL	· .	Art Unit	2131
(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.
Sheet 3 of 12		Attorney Docket Number	2222.5390005

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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	US42	6,145,084	11-07-2000	Zuili	
	US43	6,185,684 B1	02-06-2001	Pravetz et al.	
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	US46	6,240,188 B1	05-29-2001	Dondeti et al.	
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	FP27					

Examiner Signature	/Christopher Revak/	Date Considered	11/25/2009			
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Substitute	for form	1449/PT0)	Complete if Known	
SECO	ND C	HODE	.EMENTAL	Application Number	10/074,996
				Filing Date	February 12, 2002
	INFORMATION DISCLOSURE			First Named Inventor	Chang-Ping Lee
			APPLICANT	Art Unit	2131
	(Use as many sheets as necessary)			Examiner Name	REVAK, CHRISTOPHER A.
Sheet	4	of	12	Attorney Docket Number	2222.5390005

			U.S. PATENT DO	OCUMENTS	
Examiner Cite Initials* No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
IIIIIIais	140.	Number-Kind Code ^{2 (If Known)}	WINTEDDETTTT	Applicant of Cited Document	or Relevant Figures Appear
	US61	6,405,315 B1	06-11-2002	Burns et al.	
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	US63	6,442,688 B1	08-27-2002	Moses et al.	
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			FOREIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	FP28					
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Examiner	/Christopher Dougle/	Date	11/25/2009
Signature	/Christopher Revak/	Considered	11/23/2009

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SECO	NID C	HODI	EMENITAL	Application Number	10/074,996
	SECOND SUPPLEMENTAL			Filing Date	February 12, 2002
			DISCLOSURE	First Named Inventor	Chang-Ping Lee
STATI	EME	NT BY	APPLICANT	Art Unit	2131
	(Use as many sheets as necessary)			Examiner Name	REVAK, CHRISTOPHER A.
Sheet	5	of	12	Attorney Docket Number	2222.5390005

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Examiner Cite Initials No. 1		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
initials	No.	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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			DISCLOSURE	First Named Inventor	Chang-Ping Lee
STAT	EMEN	NT BY	APPLICANT	Art Unit	2131
	(Use as many sheets as necessary)			Examiner Name	REVAK, CHRISTOPHER A.
Sheet	6	of	12	Attorney Docket Number	2222.5390005

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miliais	140.	Number-Kind Code ^{2 (If Known)}	WW-DD-1111	Applicant of Cited Document	or Relevant Figures Appear
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	INFORMATION DISCLOSURE			Filing Date	February 12, 2002	
				First Named Inventor	Chang-Ping Lee	
STAT			APPLICANT	Art Unit	2131	
	(Use as many sheets as necessary)			Examiner Name	REVAK, CHRISTOPHER A.	
Sheet	7	of	12	Attorney Docket Number	2222.5390005	

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	Signature	/Christopher Hevak/	Considered	11/25/2009

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Substitute for form 1449/PTO				Complete if Known		
SECOND SUPPLEMENTAL			EMENTAL	Application Number	10/074,996	
				Filing Date	February 12, 2002	
-	INFORMATION DISCLOSURE			First Named Inventor	Chang-Ping Lee	
STAT	EMEN	IT BY	APPLICANT	Art Unit	2131	
	(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.		
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Signature / Christopher Revak/ Considered 11/25/2009	Examiner Signature	/Christopher Revak/	Date Considered	11/25/2009
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SECOND SUPPLEMENTAL				Application Number	10/074,996
				Filing Date	February 12, 2002
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Chang-Ping Lee
STAT				Art Unit	2131
				Examiner Name	REVAK, CHRISTOPHER A.
Sheet	9	of	12	Attorney Docket Number	2222.5390005

•			U.S. PATENT DO	OCUMENTS	
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Signature	/Christopher Revak/	Considered	11/25/2009

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Substitute	Substitute for form 1449/PTO			Complete if Known	
SECOND SUDDI EMENTAL			EMENITAL	Application Number	10/074,996
	SECOND SUPPLEMENTAL			Filing Date	February 12, 2002
INFORMATION DISCLOSURE				First Named Inventor	Chang-Ping Lee
STAT	STATEMENT BY APPLICANT			Art Unit	2131
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Sheet	10	of	12	Attorney Docket Number	2222.5390005

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	Filing Date	February 12, 2002	
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee	
STATEMENT BY APPLICANT	Art Unit	2131	
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.	
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Signature	/Christopher Revak/	Considered	11/25/2009

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SECOND SUBDI EMENT	· A I	Application Number	10/074,996
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Filing Date	February 12, 2002
		First Named Inventor	Chang-Ping Lee
		Art Unit	2131
		Examiner Name	REVAK, CHRISTOPHER A.
Sheet 1 of	5	Attorney Docket Number	2222.5390005

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pagc(s), volume-issue number(s), publisher, city and/or country where published	T ²	
	NPL1	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.		
	NPL2	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect		
	NPL3	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000		
	NPL4	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.		
	NPL5	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Application No. 10/404,566, filed 03/31/2003.		
	NPL6	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.		
	NPL7	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004		
	NPL8	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002		
	NPL9	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.		
	NPL10	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002		

Examiner /Christopher Revak/	Date Considered	11/25/2009
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	First Named Inventor	Chang-Ping Lee		
	Art Unit	2131		
	Examiner Name	REVAK, CHRISTOPHER A.		

Attorney Docket Number

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	NPL11	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	
-	NPL12	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL13	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.	
	NPL14	U.S. patent application, Scr No. 10/028,397, entitled "Method and system for restricting use of a clipboard application," inventor Zuili, December 21, 2001, 38 pgs.	
	NPL15	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL16	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL17	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL18 U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encryptofiles transmitted over a network" inventor Ryan, September 11, 2002, 33 pgs.		
	NPL19	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network" inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL20	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	

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Signature	/ Offitolophor Hovary	Considered	

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SECOND SUPPLEMENTAL	Application Number	10/074,996	
	Filing Date	February 12, 2002	
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee	
STATEMENT BY APPLICANT	Art Unit	2131	
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.	
Sheet 3 of 5	Attorney Docket Number	2222.5390005	

		NON PATENT LITERATURE DOCUMENTS	
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	NPL21	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.	
	NPL22	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL24	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multilocation Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL25	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL26	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL27	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL28	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL29	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL30	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/074,996
		Filing Date	February 12, 2002
		First Named Inventor	Chang-Ping Lee
		Art Unit	2131
		Examiner Name	REVAK, CHRISTOPHER A.
Sheet 4 of 5		Attorney Docket Number	2222.5390005

	γ	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL31	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL32	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL33	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL34	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL35	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL36	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL37	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL38	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL39	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL40	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

Examiner Signature	/Christopher Revak/	Date Considered	11/25/2009
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CECONE	CHDE		ENITAL	Application Number	10/074,996	
SECOND				Filing Date	February 12, 2002	
INFORM				First Named Inventor	Chang-Ping Lee	
STATEMENT BY APPLICANT				Art Unit	2131	
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	NPL41					
	NPL42	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.				
	NPL43	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.				
	NPL44	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.				
	NPL45	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.				
	NPL46	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.				
	NPL47	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.				
	NPL48	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.				
··· · · · ·	NPL49	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.				
	NPL50					

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